



Express Mail No.: EV 452 777 017 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: SRIVASTAVA *et al.*

Confirmation No.: 3088

Serial No.: 09/393,652

Art Unit: 1644

Filed: September 10, 1999

Examiner: Gerald R. Ewoldt, Ph.D.

For: METHODS AND COMPOSITIONS FOR  
THE TREATMENT AND PREVENTION  
OF GRAFT REJECTION USING HEAT  
SHOCK PROTEINS

Attorney Docket No.: 8449-025-999

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the United States Patent and Trademark Office ("USPTO") of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby invite the Examiner's attention to references **CV** and **CW** listed on the attached form entitled "List of References Cited by Applicant." Copies of references **CV** and **CW** are enclosed.

Identification of the listed references is not meant to be construed as an admission by Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe that no fee is due in connection with the filing of this Information Disclosure Statement. However, if any fee is required, please charge the amount of any required fee to Jones Day deposit account no. 50-3013.

Respectfully submitted,

Date: March 28, 2006

  
Adriane M. Antler (Reg. No.) 32,605

**JONES DAY**  
222 East 41st Street  
New York, New York 10017  
(212) 326-3939



Substitute for Form 1449A

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Sheet 1 of 1 of List of References

**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.

**8449-025-999**

APPLICATION NO.

**09/393,652**

APPLICANT

**SRIVASTAVA *et al.***

FILING DATE

**September 10, 1999**

ART UNIT

**1644**

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | PAGES, COLUMNS, LINES, WHERE<br>RELEVANT PASSAGES OR RELEVANT<br>FIGURES APPEAR |
|----------------------|-----------------|------|------|---|
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**FOREIGN PATENT DOCUMENTS**

|  | FOREIGN PATENT<br>DOCUMENT<br>COUNTRY CODE, NUMBER,<br>KIND CODE (IF KNOWN) | DATE | NAME | PAGES, COLUMNS, LINES, WHERE<br>RELEVANT PASSAGES OR<br>RELEVANT FIGURES APPEAR | T |
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**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | CV | Kovalchin <i>et al.</i> , "In vivo treatment of mice with heat shock protein gp96 improves survival of skin grafts with minor and major antigenic disparity," <i>Transplant Immunol.</i> 15:179-185 (2006) |
|  | CW | Udono <i>et al.</i> , "Comparison of Tumor-Specific Immunogenicities of Stress-Induced Proteins gp96, hsp90, and hsp70," <i>J. Immunol.</i> 152:5398-5403 (1994)   |
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**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NYJD: 1617122.1